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IN THE Supreme Court of the United States

GOOGLE LLC,

Petitioner,

v.

ORACLE AMERICA, INC.,

Respondent.

ON WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE FEDERAL CIRCUIT

BRIEF OF THE R STREET INSTITUTE, PUBLIC KNOWLEDGE, AND THE NISKANEN CENTER AS AMICI CURIAE IN SUPPORT OF PETITIONER

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INTEREST OF AMICI CURIAE

The R Street Institute¹ is a nonprofit, nonpartisan public policy research organization. R Street's mission is to engage in policy research and educational outreach that promotes free markets as well as limited yet effective government, including properly calibrated legal and regulatory frameworks that support economic growth and individual liberty.

Public Knowledge is a nonprofit organization that is dedicated to preserving the openness of the Internet and the public's access to knowledge, promoting creativity through balanced intellectual property rights, and upholding and protecting the rights of consumers to use innovative technology lawfully. Public Knowledge advocates on behalf of the public interest for a balanced patent system, particularly with respect to new and emerging technologies.

The Niskanen Center is a nonprofit, nonpartisan public policy think tank. Niskanen works to advance an open society both through active participation in the marketplace of ideas and direct engagement in the policymaking process. Niskanen develops policy proposals, works with other interested groups to support them, and promotes those ideas to legislative, executive, and judicial decision makers.

¹Pursuant to Supreme Court Rule 37.3(a), Petitioner has provided blanket consent and Respondent has consented to the filing of this brief. Pursuant to Rule 37.6, no counsel for a party authored this brief in whole or in part, and no counsel or party made a monetary contribution intended to fund the preparation or submission of the brief. No person or entity, other than *amici*, their members, or their counsel, made a monetary contribution to the preparation or submission of this brief.

SUMMARY OF ARGUMENT

The Java SE declarations of this case are simply a language of commands. As an application programming interface, or API, they exhibit features common to any language: a structured vocabulary and grammatical syntaxes, which a computer system understands as instructions to perform predefined tasks. What Oracle accuses as infringement is "reimplementation," namely the building of a system, in this case Google's Android platform, that repurposes the same words and syntaxes of the Java declarations.

This fact pattern—reimplementation of a command language—is strikingly common. The earliest forms of communication featured command languages; today private industry and government frequently reimplement APIs. The many instances of reimplementation highlight both widespread expectations that reimplementation is noninfringing and the broad repercussions that would obtain should copyright law defy those expectations.

I. Instances of reimplementation abound. Historical command languages—collections of named commands with actions implemented by human recipients—include naval flag messaging, the Victorian language of flowers, and dictionaries of telegraphic codes in the early 1900s. Non-computer command languages remain in use today: Radio communication abbreviation systems have ongoing law enforcement and military applications, and hospitals use named color codes to trigger emergency procedures. In all of these examples, one finds frequent reimplementation in the form of reusing commands in new dictionaries or procedure manuals.

Computer command languages, namely APIs, are also common and commonly reimplemented. Cloud comput-

ing, one of the largest technology sectors today, relies extensively on APIs, and reimplementation of leading firms' cloud computing APIs is frequent practice for competitors, including Oracle itself. Internet communications and computer peripherals similarly depend on reimplementation, by virtue of their conformance to technical communication standards that incorporate APIs.

Governments are also involved in the business of API reimplementation. Federal agencies and other government entities produce, reimplement, and direct reimplementation of APIs to serve public objectives such as health care interoperability, aviation safety, and law enforcement coordination. Indeed, a binding executive policy from 1998 is inconsistent with copyrights in APIs; to read it otherwise would render practically every federal agency in violation.

II. These many examples of reimplementation offer multiple lessons on the value of freedom to reimplement and the danger of letting copyright law interfere with that freedom.

First, there is a consistent consensus view that reimplementation of APIs and other command languages is not copyright infringement. Numerous actors, including the United States, reimplemented historical command languages such as telegraph codes on a regular basis. Practices of the technology industry that forms technical standards reflect an expectation that reimplementation is not copyright infringement; the 1998 executive policy reflects the same.

Second, competition would suffer should reimplementation require a license. Dominant firms in the technology sector can lock in software developers with their APIs, and new entrants that seek to challenge those market leaders need to reimplement those APIs in order to overcome that lock-in and compete on a level playing field.

Third, API reimplementation is frequently a centerpiece of efficient and effective government. Reimplementation enables standardization, which has advantages for public safety and welfare, as well as advantages for the government itself in terms of better interagency coordination and taxpayer savings.

Oracle perhaps hopes to characterize this dispute as an idiosyncratic matter of limited consequence beyond the parties. The wide range of technologies and practices described in this brief make that characterization unrealistic. The outcome of the present case will have broad ramifications on the public and private sectors, should copyright law be rendered inconsistent with longstanding expectations of freedom to reimplement.

ARGUMENT

I. REIMPLEMENTATION OF APIS AND OTHER INTERFACES IS PREVALENT, EXPECTED, AND VALUABLE

Reimplementation of APIs and command languages abounds throughout history and in modern technology. Three classes of examples of reimplementation are considered below: Historical command languages, modern communication and Internet technologies, and government use of APIs. These instances exemplify the value of reimplementation and the expectation that it is allowed.

Attempting to avoid the dramatic consequences of its legal theory, Oracle has tried to distinguish the present case from these other situations. These attempts fail. *First*: Oracle argues that the reimplementation in the present case was only partial and incompatible with the original Java declarations. But many of the reimplementations described below are partial and incompatible too. Second: Oracle may argue that standardized APIs necessarily include an implied license for use. But there is no viable implied-license doctrine of copyright law for this purpose.² Third: Oracle distinguishes Google's literal copying from other reimplementations that translate an API into another computer language. But translations are derivative works³ and the reimplementation must still literally copy the API's vocabulary. Furthermore, this distinction would make infringement trivial to avoid. Google

²See Charles Duan, Internet of Infringing Things: The Effect of Computer Interface Copyrights on Technology Standards, 45 RUT-GERS COMPUTER & TECH. L.J. 1, 35–36 n.130 (2019); Orit Fischman Afori, Implied License, 25 SANTA CLARA HIGH TECH. L.J. 275, 281 (2008).

³See 17 U.S.C. § 101.

could mechanically translate its reimplementing code into the C++ language to avoid literal copying; this code would still receive Java commands in the Java language with no change for programmers.⁴ Thus, Oracle's attempt to limit the fallout of the present case is unavailing.

A. HISTORICAL COMMUNICATION SYSTEMS SHOW THE COMMONALITY OF REIMPLEMENTATIONS

Though APIs are a creation of the computer age, the broader use of command languages among humans was common. Nothing in Oracle's theory of the case requires a reimplementation to be a computer program, and reimplementations of non-computer command languages were made by many, including government agencies.

1. FLAG SIGNAL CODES

When Admiral Lord Nelson at Trafalgar hoisted his famous slogan "England expects every man will do his duty," he availed himself of a long line of command language reimplementations in the form of maritime flag signaling. The history of this form of communication shows the extensiveness of the practice of reimplementing languages in new settings.

Use of flags for naval communication can arguably be traced back to the Greeks,⁵ but modern forms arise in the mid-18th century. The general approach that developed was a two-step translation process: Flags were used to

⁴See Java Native Interface, JAVA DOCUMENTATION (last visited Jan. 10, 2020), *available online* (describing how to implement Java declarations in other programming languages). Locations of authorities available online are shown in the Table of Authorities.

⁵See W.G. PERRIN, BRITISH FLAGS 140 (Cambridge, Univ. Press 1922), available online.

represent digits of numbers and later letters, and signal books associated numbers with words or messages. For example, a ship might raise three flags representing the digits 2, 2, and 4; an observer would then look up the number 224 to receive the message "engage the enemy."⁶

This system thus entailed two command languages: one associating flags with numbers, and another associating numbers with instructions. Reimplementation would have involved reusing flags to represent similar symbols, or reusing numbers for instructions. Both occurred numerous times in the history of maritime flag signaling.

The numeric signaling system is generally attributed to Bertrand-Franc ois Mahé de La Bourdonnais, a French naval officer whose flag signaling system was described in a 1769 treatise.⁷ Admiral Sir Charles Knowles apparently relied on the numerical signals of La Bourdonnais, but devised his own "tabular method" of flags for the numbers.⁸ Both systems were the basis for Admiral Lord Howe's signal books of the late 1700s.⁹ Howe's books only provided for naval commands, though, which Admiral Sir Home Popham augmented in 1800 to add a complete alphabet and general-purpose vocabulary, thereby producing the "telegraphic" flag code that Nelson used at the Battle of Trafalgar.¹⁰ Captain Frederick Marryat adapted Popham's code for commercial ships; his code

⁶*Id.* at 170.

⁷See JACQUES BOURDÉ DE VILLEHUET, THE MANOEUVERER 303– 07 (Chevalier de Sauseuil trans., London, S. Hooper 1788), available online; William Davis Miller, *The Background and Development of* Naval Signal Flags, 68 PROC. MASS. HIST. SOC'Y 60, 64–65 (1947).

⁸PERRIN, *supra* note 5, at 167.

 $^{^{9}}See \, id.$ at 168–69.

¹⁰See HOME POPHAM, TELEGRAPHIC SIGNALS (London, T. Egerton 1803), available online; PERRIN, supra note 5, at 177–78.

of signals contained two parts comprising a "vocabulary adapted from Popham."¹¹ Marryat's commercial code in turn was "the source and inspiration" for the International Code of Signals, which included many identical flags.¹² The last of these codes, still in use today, retains the legacy of three centuries of history: The flag colors remain the four "very striking colours"—white, red, blue, and yellow—selected by La Bourdonnais.¹³

2. FLORIOGRAPHY

Although the symbolic use of flowers dates back to at least the *Song of Solomon*, an acute interest in floriography, or the language of flowers, developed rapidly during the late Georgian and early Victorian eras. For early-1800s enthusiasts, flowers conveyed not just symbolism but a complete, grammatically complex communicative system that exhibits both the key characteristics of command languages and frequency of reimplementation.

Floriography was arguably popularized in England via the writings of Mary Montagu, wife of the English ambassador to the Ottoman Empire, who in a letter published in 1763 described a "Turkish love-letter" comprising a series of objects each associated with a phrase cinnamon for "my fortune is yours," for example.¹⁴ Romantic poets seized upon the practice, interpreting it

¹¹PERRIN, *supra* note 5, at 183; FREDERICK MARRYAT, A CODE OF SIGNALS FOR THE USE OF VESSELS EMPLOYED IN THE MERCHANT SERVICE (4th ed., London, J.M. Richardson 1826), *available online*.

¹²Miller, *supra* note 7, at 68.

¹³DE VILLEHUET, *supra* note 7, at 305.

¹⁴3 LETTERS OF THE RIGHT HONOURABLE LADY M[AR]Y W[ORTLE]Y M[ONTAGU] 3–4 (London, T. Becket 1763), *available online*; *see* BEVERLY SEATON, THE LANGUAGE OF FLOWERS: A HISTORY 62 (1995).

(apparently incorrectly) as a covert means for forbidden lovers to communicate. $^{15}\,$

Across the 19th century, dictionaries of flowers and their meanings became wildly popular, with over 200 competing dictionaries and regular reprints of the most popular editions.¹⁶ The most comprehensive of these, such as Henry Phillips' 1825 treatise, included extensive grammatical frameworks complete with pronouns, articles, conjugations, and negators.¹⁷ Phillips' vocabulary was not limited to romantic notions, but could express mundane commands and instructions, with floral elements representing dates, times, numbers, and even monetary amounts.¹⁸

Copying of floriographic vocabularies was typical. Phillips himself purported that he drew terminology from earlier sources "to avoid perplexity," though he invented the numerical and time representations.¹⁹ A modern comparison of leading references on the language of flowers, including Phillips', observes a general "pattern of similarity" across both American and British dictionaries, but also a trend of adding new meanings as new flowers came into popularity or were better understood.²⁰ Furthermore, though the dictionaries generally duplicated the general meanings of flowers, they did not use the same text to explain the definitions; some just matched words to flowers while others provided effusive description or

¹⁵See SEATON, supra note 14, at 61–63.

¹⁶See Nancy Strow Sheley, *The "Language of Flowers" as Coded* Subtext, 2 WORKING PAPERS ON DESIGN 1, 7 (2007), available online.

¹⁷See HENRY PHILLIPS, FLORAL EMBLEMS 24–26 (London, Saunders & Otley 1825), available online.

 $^{^{18}}See id. at 27-53.$

¹⁹*Id.* v-vi.

²⁰Sheley, *supra* note 16, at 13.

even poetry.²¹ Each dictionary of the language of flowers thus reimplemented its predecessors, reusing the vocabulary but not necessarily the explanatory text.

Reimplementation of the language of flowers was ultimately necessary for the language to be useful. If every floriographic system used its own vocabulary, then one could only transmit a botanic message if the recipient worked off of the same vocabulary as the sender. Advertisements invoking the language of flowers, which were apparently common at the time, would have failed to work had there not been compatibility among understandings.²² Accommodating those already familiar with an existing language was thus as relevant to the floriographer as to the Java declaration reimplementer.

3. TELEGRAPHS AND RADIO

Along with the rise of the electric telegraph across the late 19th century came the development of telegraphic codes, dictionaries of often-arbitrary code words associated with phrases intended to reduce the length of telegrams. Hundreds of such codes were published from the mid-1800s onward, each with its own associations between codes and meaning: *Hesternal* translated to "Do not renew" in the Western Union codebook,²³ but in the ABC Code meant "Will proceed home immediately after the inquiry is held."²⁴

 $^{^{21}}See \ id.$ at 5.

²²See SEATON, supra note 14, at 105.

²³INT'L CABLE DIRECTORY CO., WESTERN UNION TELEGRAPHIC CODE 376 (universal ed. 1901), *available online*.

²⁴W. CLAUSON-THUE, THE ABC UNIVERSAL COMMERCIAL ELEC-TRIC TELEGRAPHIC CODE 139 (4th ed., N.Y., Am. Code Publ'g Co. 1899), *available online*.

Much like the Java declarations, telegraphic codes were command languages—shortcuts for commands that instruct the recipient on what to do, even commands that accept parameters just like software APIs.²⁵ And it was common practice to "reimplement" telegraphic codes by adapting parts of existing vocabularies into new ones.²⁶ Most notably, the federal government engaged in this practice extensively. The War Department's 1885 telegraphic code made only minor modifications to Robert Slater's code—even the title was nearly identical.²⁷ The subsequent War Department code from 1900 was again a

²⁶See CLAUSON-THUE, supra note 24, iv. To be sure, several courts permitted assertion of a copyright in telegraphic code books. See Ager v. Peninsular & Oriental Steam Navigation Co., 50 L.T.R. (n.s.) 477, 479 (Ch. Div. 1884); Reiss v. Nat'l Quotation Bureau, Inc., 276 F. 717, 719 (S.D.N.Y. 1921) (citing Ager, 50 L.T.R. (n.s.) 477); see also Hartfield v. Peterson, 91 F.2d 998, 1000 (2d Cir. 1937) (finding infringement of a telegraphic code where "the validity of [plaintiff's] copyright is not questioned"). These precedents are inapplicable to the present case because they rely on the sweat-of-the-brow doctrine, see Ager, 50 L.T.R. (n.s.) at 479; Am. Code Co. v. Bensinger, 282 F. 829, 833 (2d Cir. 1922) (citing Jeweler's Circular Publ'g Co. v. Keystone Publ'g Co., 281 F. 83 (2d Cir. 1922)), which this Court rejected in Feist Publications, Inc. v. Rural Telephone Service Co., 499 U.S. 340, 360 (1991) (disapproving Jeweler's Circular Publ'g Co. v. Keystone Publ'g Co., 281 F. 83 (2d Cir. 1922)).

²⁷See WILLIAM F. FRIEDMAN, SIX LECTURES ON CRYPTOLOGY 95– 96 (1965), available online (describing J.F. GREGORY, TELEGRAPHIC CODE TO INSURE SECRECY IN THE TRANSMISSION OF TELEGRAMS (Wash., Gov't Printing Office 1885); ROBERT SLATER, TELEGRAPHIC CODE, TO ENSURE SECRESY IN THE TRANSMISSION OF TELEGRAMS (London, W.R. Gray 1870)); ARMY SEC. AGENCY, THE HISTORY OF CODES AND CIPHERS IN THE UNITED STATES PRIOR TO WORLD WAR I 112 (Wayne G. Barker ed., 1978).

²⁵See, e.g., INT'L CABLE DIRECTORY Co., supra note 23, at 88 (defining *Chicanons* _____ as "Process may be served on ____ at ____ as agent of the corporation").

pastiche of the Western Union code and an army-specific dictionary.²⁸ In other words, the War Department produced multiple partial reimplementations of privately published telegraph codes.

The War Department did not reimplement telegraph codes gratuitously. Exactitude in command words, telegraphed via error-prone dots and dashes, was no less essential for telegraphic codes than for software APIs—a one-letter error in a coded telegraph prompted a tort case before this Court in 1894.²⁹ And telegraph operators familiar with certain vocabularies (or at least pronounceable words) had difficulty transcribing messages filled with newly manufactured words.³⁰ By basing codes off of known systems, the War Department and others could reduce errors by taking advantage of skilled telegraph operators' familiarity with existing code systems-much like how Google's Android assisted programmers already familiar with the Java declaration interface. Reimplementation thus likely served, at least in part, to ensure accuracy in the Army's communications.

Telegraphic codes evolved into radio brevity codes, which have become familiar standards. *Roger* and *over and out* are part of the lexicon of procedure words for radiotelephony,³¹ and *ten-four* derives from a system of "ten-codes" developed for and used today by law enforce-

²⁸See FRIEDMAN, *supra* note 27, at 98; ARMY SEC. AGENCY, *supra* note 27, at 120–21.

²⁹See Primrose v. W. Union Tel. Co., 154 U.S. 1, 27 (1894).

³⁰See George Arthur Codding Jr., The International Telecommunication Union, 66–67 (1952); N. Katherine Hayles, How We Think, 137 (2012).

³¹See N. Atl. Treaty Org., ACP 125(F), Radiotelephone Pro-Cedures 3-12 (Sept. 2001), available online.

ment.³² Again, reimplementation of radio brevity codes was common. Procedure words derive from Morse code signals—*Roger* from Morse code *R* originally meaning "received"—and have now been adapted by multiple entities such as NATO and the Coast Guard, though with differences in each.³³ And individual police departments use local variations of ten-codes.³⁴ Organization-specific reimplementations of these command languages are thus ordinary practice for public entities.

4. HOSPITAL CODES

Code blue is the famous catchphrase for cardiac arrest, but beyond that hospitals often maintain complete vocabularies of color codes representing emergent conditions code red for fires, code pink for infant abductions, and so on.³⁵ The color codes are a command language since the announcement of any code triggers response actions for hospital staff; indeed a key responsibility of each hospital using these emergency codes is "implementation" of procedures to respond to the relevant situation.³⁶ Like the Java declarations, these codes are named shortcuts to sets of instructions implemented at each hospital.

³²See Megan Wells, Police 10 Codes vs. Plain Language, Po-LICEONE (Aug. 19, 2016), available online.

³³See N. ATL. TREATY ORG., *supra* note 31, at 3-11 (adding *I spell* proword, which was unnecessary in Morse code); U.S. COAST GUARD, CGTTP 6-01.1A, RADIOTELEPHONE HANDBOOK § 5 (Jan. 2013), *available online*.

 $^{^{34}}See$ Wells, supra note 32.

³⁵See, e.g., HOSP. ASS'N OF S. CAL., HEALTH CARE EMERGENCY CODES 4 (4th ed. 2014) [hereinafter HASC CODE], available online.

³⁶CAROL WAGNER, WASH. STATE HOSP. ASS'N, STANDARDIZA-TION OF EMERGENCY CODE CALLS IN WASHINGTON 74 (Oct. 2008), *available online*.

A hospital reusing another's color codes for its own emergency procedures, then, would be reimplementing a command language, and such practice is common. There is no standard color code scheme, and color codes have historically been vastly inconsistent across hospitals.³⁷ In 2000, responding to a fatal misunderstanding of a color code alert, the Hospital Association of Southern California recommended a color code scheme for use in local hospitals.³⁸ The New Jersey Hospital Association adopted almost the same color code in 2004, the only change being code amber for child abductions, rather than code pink as California had used.³⁹ Oregon and Washington hospital associations followed suit, explicitly noting that their codes were "similar to what is being used in California."40 The Maryland Department of Health also appears to have reimplemented the California code: Its 2002 Universal Emergency Code is largely identical with only a handful of exceptions, such as *code white* for oxygen outages rather than pediatric emergencies.⁴¹

³⁷See, e.g., CAL. HOSP. ASS'N, HOSPITAL EMERGENCY CODE STAN-DARDIZATION SURVEY (Sept. 2011), available online; Isa Ashworth et al., Variability of Emergency Color Codes for Critical Events Between Hospitals in Riyadh, 35 ANNALS SAUDI MED. 450, 455 (2015), available online.

³⁸See HASC CODE, supra note 35, at 4; Crack the Uncommon Code with Standardization, HEALTHCARE SECURITY & EMER-GENCY MGMT., Oct. 2004, at 6, available online.

³⁹See Press Release, Ron Czajkowski & Valerie Sellers, Associations Join to Unveil Standardized Healthcare Codes to Improve Response During Emergencies (Nov. 10, 2004), available online; Hospitals Consider Code Pink v. Code Amber, HEALTHCARE SECU-RITY & EMERGENCY MGMT., Oct. 2004, at 7, available online.

⁴⁰WAGNER, *supra* note 36, at 7; *see* DIANE WALDO, OR. ASS'N OF HOSPS. & HEALTH SYS., STANDARDIZATION OF EMERGENCY CODE CALLS IN OREGON 2 (Jan. 2009), *available online*.

⁴¹MD. CODE REGS. § 10.07.01.33(A).

Reimplementation of color-code languages in hospitals ensures patient and hospital staff safety. Medical professionals who move between hospitals carry with them expectations of what each color code means, which can lead to confusion when a hospital's meanings are different.⁴² A Maryland-trained doctor who relocates to California might disastrously respond to a *code white* with an oxygen tank rather than a pediatric defibrillator. Hospitals reimplement emergency code language for the same reason Google reimplemented the Java declarations—to simplify the learning curve for users familiar with an existing system. In the case of hospitals, the consequences of freedom to reimplement can be dramatic.

B. APIS ARE FREQUENTLY REIMPLEMENTED BY PRIVATE FIRMS, INCLUDING ORACLE ITSELF

As historical command languages have given way to computer APIs, reimplementation has remained consistent. API reimplementation is common among technology firms, and it ensures a competitive marketplace.

1. CLOUD SERVICES

A defining feature of modern technology is cloud computing. Reimplementation in the \$200 billion⁴³ cloud computing market provides a striking example of how Oracle's API copyright theory could stifle competition.

⁴²See Margaret M. McMahon, The Many Codes for a Disaster: A Plea for Standardization, 5 DISASTER MGMT. & RESPONSE 1, 1 (2007), available online.

⁴³See Press Release, Katie Costello & Meghan Rimol, Gartner, Inc., Gartner Forecasts Worldwide Public Cloud Revenue to Grow 17% in 2020 (Nov. 13, 2019), available online.

Cloud computing involves providing services that a personal computer ordinarily offers, such as file storage and numerical processing, on distant computers over an Internet link.⁴⁴ Many companies offer cloud computing services, but the unquestioned leader is Amazon Web Services,⁴⁵ with a file storage service called S3.

APIs are the mechanism for accessing cloud services. To store or retrieve a file on S3, a computer programmer issues commands following the vocabulary and syntaxes of the Amazon S3 API, including cryptic words like *encoding-type, continuation-token,* and *x-amz-date.*⁴⁶

A competing cloud service must also implement an API, and under Oracle's theory the API cannot reimplement S3's without permission. Indeed, this case's litigation has apparently had its effects on the cloud services market: Companies find it "a legal grey area" and "dangerous" to reimplement Amazon's APIs, and one firm named Eucalyptus announced licensing Amazon's API in 2012.⁴⁷

Even mere uncertainty over API copyrights essentially locks in Amazon's dominant position in the cloud services market. Programmers will be unlikely to switch over to a competitor with an incompatible API, due to the time and costs required to learn the competitor's API and

⁴⁴See Peter Mell & Timothy Grance, NIST Special Pub. 800-145, The NIST Definition of Cloud Computing 2 (Sept. 2011), *available online*.

⁴⁵See Costello & Rimol, supra note 43, tbl.1.

⁴⁶See AMAZON WEB SERVS., INC., AMAZON SIMPLE STORAGE SER-VICE API REFERENCE, API VERSION 2006-03-01, at 209–10, 681 (2020), available online.

⁴⁷Barb Darrow, Oracle v. Google Ruling Shows Why Cloud Players May Have Steered Clear of Amazon APIs, GIGAOM (May 9, 2014), available online; see Lydia Leong, The Amazon-Eucalyptus Partnership, CLOUDPUNDIT (Apr. 3, 2012), available online.

to rewrite software for it. Thus, "offering some degree of compatibility would be helpful for the newcomers."⁴⁸

Nevertheless, numerous cloud services reimplement the Amazon S3 API, despite the legal uncertainty.⁴⁹ Among those reimplementers is Oracle itself: Oracle's Cloud Object Storage service includes an "Amazon S3 Compatibility API" that replicates, down to the letter, Amazon's command words and syntaxes.⁵⁰ Oracle representatives claim that the reimplementation was permitted under a 2010 blanket open-source license, but that license attaches to API-using software rather than the API itself,⁵¹ and in any event Oracle's blanket-license theory is inconsistent with its 2012 Eucalyptus deal— Amazon needed not negotiate a license if it already offered a blanket one in 2010.

More important than Oracle's licensing gaffe is why Oracle reimplemented Amazon's API at all. The reason was almost certainly to simplify switching costs for programmers wanting competitive choices for cloud computing.⁵² If, as Oracle suggests, copyright prohibits API compatibility, then emerging cloud service firms such as Oracle can only compete on a level playing field subject to the grace of dominant gatekeepers, with API copyrights as the keys.

⁵⁰See Charles Duan, Oracle Copied Amazon's API—Was That Copyright Infringement?, ARS TECHNICA (Jan. 3, 2020), available online; Oracle, Amazon S3 Compatibility API (last visited Jan. 8, 2020), available online.

⁵¹See Duan, supra note 50 (explaining several defects in Oracle's response).

 $5\bar{2}$ Cf. Watters, supra note 49.

 $^{^{48}}See$ Darrow, supra note 47.

⁴⁹See, e.g., Audrey Watters, Cloud Community Debates, Is Amazon S3's API the Standard? (And Should It Be?), READWRITE (July 12, 2010), available online.

2. TECHNICAL STANDARDS AND THE INTERNET

Beyond cloud services, nearly every information technology system today depends on reimplementation, because modern technology relies on technical standards that almost always include APIs that must be reimplemented.

A technical communication standard is an agreedupon protocol by which computers communicate.⁵³ Generally developed by voluntary consensus-based industry consortia called standard-setting organizations, technical standards are the basis for practically all online communications: Web pages follow the HTML and CSS technical standards, videos generally conform to the International Telecommunication Union's H.264 standard, emails are transmitted in accordance with the SMTP standard, and so on.⁵⁴

These standards generally contain APIs much like the Java declarations: extensive vocabularies of command words combined with syntaxes for using them.⁵⁵ That is unsurprising because technical standards are command languages, formal mechanisms for controlling actions of a computer. A web designer, wanting to create a red line at the top of a page, expects to be able to issue the declaration "border-top-color: red" to achieve this result according to the CSS standard for web page design; web browser software such as Firefox or Internet Explorer

⁵³See NAT'L ACAD. OF SCIS., PATENT CHALLENGES FOR STANDARD-SETTING IN THE GLOBAL ECONOMY 16 (Keith Maskus & Stephen A. Merrill eds., 2013), available online.

 $^{^{54}}See \ generally$ Duan, supra note 2, at 13–21. The meanings of these abbreviations are given in the cited article; they are immaterial for purposes of this brief.

 $^{^{55}}See id.$

must implement that CSS declaration and myriad others in order to present web pages correctly.⁵⁶

The \$1.2 trillion⁵⁷ Internet-related economy thus depends in large part on freedom to reimplement APIs found in technical standards. One might hope to find such freedom in license arrangements, but very few standard-setting organizations deal with licensing of copyrights in APIs—likely because those organizations believed no copyright interest was infringed in API reimplementation.⁵⁸ Oracle's copyright theory, if validated, could throw the Internet economy into disarray as it scrambles to devise licensing arrangements on decades-old, heavily entrenched technical standards.

3. Computer Hardware

Technical standards do not implicate only computer software; they are found in computer hardware too.

Computer peripherals, such as keyboards and scanners, must exchange information and instructions with a computer to perform actions such as typing a letter or storing an image. In the early days of personal computing, this communication was mediated by software called "drivers" that manipulated the computer's operating system directly to instruct the device.⁵⁹ This directmanipulation approach is perhaps akin to a judicial clerk opening up a judge's personal notebook and writing in it;

⁵⁶See id. at 14; Br. R St. Inst. et al. 7, Feb. 25, 2019.

⁵⁷See Kevin Barefoot et al., *Defining and Measuring the Digital Economy* 12 (Bureau of Econ. Analysis, U.S. Dep't of Commerce, working paper, Mar. 15, 2018), *available online*.

⁵⁸See Duan, *supra* note 2, at 31–35 (reviewing policies of standard-setting organizations).

⁵⁹See Andrew S. Tenenbaum, Modern Operating Systems 289 (2d ed. 2001).

unsurprisingly device drivers caused system crashes and other serious problems for computers.⁶⁰

A better approach is to have a formal procedure—an interface—between device and computer that sets boundaries and conveys structured information, perhaps like a case memorandum from clerk to judge. These interfaces are today's technical standards such as USB, Wi-Fi, and Bluetooth, all of which are in common use today, and all of which incorporate extensive APIs that each peripheral and computer must reimplement in order to communicate.⁶¹

The standardization of computer peripheral APIs has created a robustly competitive market. For just Bluetooth technology, the standard's special interest group maintains over 34,000 member companies and reports 3.7 billion Bluetooth devices shipped in 2018, devices ranging from audio headsets to x-ray imaging to cars to industrial equipment monitors.⁶² Each of these thousands of companies, in order to participate in this massive computer peripheral market, must regularly comply with technical standards and thus reimplement APIs. API reimplementation is what keeps the computer hardware market both competitive and crash-free.

C. GOVERNMENT ENTITIES OFTEN MANDATE REIMPLEMENTATION OR REIMPLEMENT APIS THEMSELVES

Public entities also engage in API reimplementation. In some cases a government will require or encourage pri-

⁶⁰See id. at 290.

 $^{^{61}}See$ Duan, supra note 2, at 18–20.

⁶²See Bluetooth SIG, Inc., Bluetooth Market Update 7, 9, 14, 24, 30, 36 (2019), available online.

vate firms to reimplement APIs; in other cases the government itself is the user of reimplementations.

Amici's brief supporting the certiorari petition already provided three examples of this: the Department of Health and Human Services' rulemaking on APIs for electronic health records, the Federal Communications Commission's adoption of the ATSC standard for digital television, and local governments' use of smart-city technology that uses standard APIs.⁶³ Reimplementation of APIs in these examples served to enhance patient care choice, reclaim valuable wireless spectrum, and save taxpayer dollars by avoiding vendor lock-in, among other things.⁶⁴ These, however, are far from the only examples of how API reimplementation facilitates efficient and effective government.

1. LAW ENFORCEMENT AGENCY COOPERATION

Because of the need to share information across jurisdictions, law enforcement agencies extensively use API reimplementation in the course of protecting public safety. Of many possible examples, the following is one.

In 2001, the Department of Justice engaged in a project to streamline data sharing.⁶⁵ The project soon evolved into a multi-year effort to develop a "frame-work that enables the justice and public safety communities to effectively share information at all levels of government—laying a foundation for local, state, tribal, and national justice interoperability."⁶⁶

⁶³See Br. R St. Inst. et al. 14, 17–20, Feb. 25, 2019.

⁶⁴See id. at 15–16, 19–20.

 ⁶⁵See GLOB. JUSTICE INFO. SHARING INITIATIVE, 2004 ANNUAL
 REPORT 8 (2005) [hereinafter GJISI REPORT], available online.
 ⁶⁶Id.

That interoperability meant creating an API. The Department of Justice described its work, named the Global Justice XML Data Model, as an "interface" intended for "interoperability."⁶⁷ The GJXDM was also called "an object-oriented data model composed of a well-defined vocabulary," using the same term "object-oriented" with which the district court described the Java declarations.⁶⁸

The GJXDM was apparently not original, but drawn from other local, state, and federal law enforcement APIs.⁶⁹ In turn, the Justice Department encouraged other law enforcers to use GJXDM in their own systems—in other words, to reimplement both the Justice Department's API and those of the older systems the Justice Department had reused.⁷⁰

The success of the GJXDM is evident in cases it solved. Police across Pennsylvania were able to track down a bank robber within hours because their departments reimplemented the API, and New York police were able to apprehend suspects in a homicide for much the same reason.⁷¹ The GJXDM was so successful, in fact, that in 2005 the Department of Homeland Security partnered with the Justice Department to build a successor interface, the National Information Exchange Model,

⁶⁷GLOB. JUSTICE INFO. SHARING INITIATIVE, BUILDING EX-CHANGE CONTENT USING THE GLOBAL JUSTICE XML DATA MODEL: A USER GUIDE FOR PRACTITIONERS AND DEVELOPERS 10 (June 2005), available online.

⁶⁸GJISI REPORT, *supra* note 65; *see* Pet. Cert. App. 195a.

⁶⁹See Justice XML Structure Task Force, Presentation: Justice XML Data Dictionary JXDD Version 3.0, at 4–5 (Dec. 17, 2002), available online.

⁷⁰See GJISI REPORT, supra note 65, at 9.

⁷¹See Glob. Justice Info. Sharing Initiative, White Paper on the U.S. Department of Justice Global Advisory Committee 3–4 (July 2010), available online.

that would provide the benefits of a common vocabulary across all of government.⁷² Reimplementation of APIs, and the interoperability that results, can thus have important consequences for public safety and government efficiency.

2. METEOROLOGY

API reimplementation is also central to the government's ability to broadcast important information to the public. The federal government's National Weather Service provides an example.

The World Meteorological Organization operates a global weather information network, of which NWS operates a national hub to centralize reports from weather stations across the country.⁷³ For this infrastructure to work, weather reports must be coded precisely so that every component in the network can understand them.

The international coding system for weather reports is an API. A WMO-standard weather report opens with an "abbreviated heading" containing a four-letter code identifying the type of report—"BBXX," for example, indicating a surface observation from a ship.⁷⁴ The standards then define structures of information for each re-

⁷²See Paul Wormeli & Andrea Walter, *The Evolution of the National Information Exchange Model*, EMERGENCY MGMT. (Aug. 11, 2009), *available online*.

⁷³See James L.R. Fenix, *The NWS Gateway a History in Commu*nications Technology Evolution, NAT'L WEATHER SERV. (last visited Jan. 9, 2020), available online.

⁷⁴See World Meteorological Org., WMO No. 386, Manual on the Global Telecommunication System pt. II, § 2.3.2.1, at 39 (2015 ed. 2018), *available online*; World Meteorological Org., WMO No. 306, Manual on Codes A-10 (2011 ed. 2018), *available* online.

port type.⁷⁵ The WMO weather report standards thus define a vocabulary of heading codes and syntaxes for each, and computer systems that interpret weather reports must understand those heading codes and syntaxes they must reimplement WMO's API.

In the United States, NWS weather reports use the codes and syntaxes of WMO with domestic adjustments, such as to remove some metric units.⁷⁶ The NWS weather hub and other services are thus a partial reimplementation not fully compatible with WMO's weather reporting API. Furthermore, any computer software designed to interact with NWS weather reports would need to implement the NWS API, and by extension parts of the WHO API. Reimplementation of interfaces abounds in the field of providing a public weather service.

3. AVIATION

Air traffic control is replete with command languages. Communication between aircraft and airport control towers is conducted according to specific command phrases, in the United States defined by the Federal Aviation Administration.⁷⁷ The FAA's command language is a partial reimplementation of another command language, the lexicon of the International Civil Aviation Organization.⁷⁸

The FAA also deals with computer-based APIs. In its program to manage the operation of small unmanned

⁷⁸See Air Traffic Control, *supra* note 77, at PCG-1.

 $^{^{75}}See$ World Meteorological Org., supra note 74, at A-9 to -165.

⁷⁶See FED. AVIATION ADMIN., AERONAUTICAL INFORMATION MANUAL § 7-1-31(a), at 7-1-62 (Aug. 15, 2019), available online.

⁷⁷See id. § 4-2-1(c); Order JO 7110.65Y, Air Traffic Control (Fed. Aviation Admin. Aug. 15, 2019), available online.

aircraft, commonly called drones, the FAA recently developed a program for Low Altitude Authorization and Notification Capability. Part of this LAANC program is an "API-based interface" between the FAA and service providers that provide software for drone users.⁷⁹

While the FAA has not published the exact specification of the LAANC API,⁸⁰ the agency's high-level description indicates that the API contains a hierarchically structured, comprehensive set of named commands not unlike the Java declarations.⁸¹ As a result, service providers for drone users must reimplement the FAA's API, and the FAA must implement parts of that API on its own service too because the API is "bidirectional."⁸²

D. API Copyright Directly Conflicts with Binding Federal Policy

Oracle's position in this case would render many federal agencies in violation of a decades-old policy of the Executive Branch, because of those agencies' use of technical standards containing APIs.

United States policy has long preferred government agencies to adopt privately developed technical standards rather than inventing new ones.⁸³ Thus, in 1998,

⁷⁹Low Altitude Authorization and Notification Capability (LAANC): USS Operating Rules § 3.2.1, at 4 (Fed. Aviation Admin. Dec. 14, 2018) [hereinafter LAANC Rules], *available online*.

⁸⁰See 2019 USS Onboarding: Low Altitude Authorization and Notification Capability (LAANC) 5 (Fed. Aviation Admin. Feb. 2019), *available online*.

⁸¹See LAANC Rules, supra note 79, attachment A.

 $^{^{82}}See id. \$ 3.4, at 9–16.

⁸³See National Technology Transfer and Advancement Act of 1995, Pub. L. No. 104-113, § 12(d)(1), (d)(3), 110 STAT. 775, 783.

the Office of Management and Budget promulgated Circular A-119 requiring that "federal agencies must use voluntary consensus standards in lieu of government-unique standards . . . except where inconsistent with the law or otherwise impractical."⁸⁴

In defining "voluntary consensus standards," the OMB circular required that such standards "include provisions requiring that owners of relevant intellectual property have agreed to make that intellectual property available" on licensing terms granting broad availability.⁸⁵ The provision is unsurprising: It ensures that a holder of an intellectual property right covering part of a technical standard cannot hold up the government or regulated entities from implementing the standard, but instead must offer fair and reasonable licenses.⁸⁶

If copyrights in APIs are infringed by reimplementation, then those copyrights would plainly fall within "relevant intellectual property" under OMB's definition, so any technical standard containing an API would be required to have provisions on copyright licensing before an agency can adopt the standard. But voluntary consensus standard-setting bodies almost uniformly lack provisions for licensing of copyrights in APIs,⁸⁷ which likely means that many federal agencies would violate OMB's policy. The ATSC standard for digital television, for example, contains several APIs but has no provision for li-

⁸⁴See OMB Circular No. A-119, Federal Participation in the Development and Use of Voluntary Consensus Standards and in Conformity Assessment Activities § 5, at 7 (Office of Mgmt. & Budget Feb. 10, 1998), available online.

⁸⁵*Id.* § 4(a), at 6.

⁸⁶Cf. Joseph Farrell et al., Standard Setting, Patents, and Hold-Up, 74 ANTITRUST L.J. 603, 608–09 (2007).

⁸⁷See Duan, supra note 2, at 31.

censing of their copyrights, 88 so the FCC would have violated OMB Circular A-119 when it adopted that standard in 2002. 89

The recent 2016 revision of OMB Circular A-119 only creates further problems. The notes to the revision state that, with regard to the "voluntary consensus standard" definition, "no substantive changes are intended."⁹⁰ Yet the definition itself now interchanges "intellectual property" and "patent" arbitrarily,⁹¹ leaving it uncertain whether the phrase "relevant intellectual property" in the policy continues to refer to copyrights or no longer does (which would be a substantive change).

The striking mismatch between API copyrights and OMB Circular A-119 does not just demonstrate an absurdity in Oracle's theory of the case. The mismatch reveals a view, apparently held by OMB as well as the industries developing technical standards, that copyrights are not "relevant intellectual property" for reimplementing an API.⁹² Caution is merited before interpreting copyright law inconsistent with that consensus view.

⁸⁸See id. at 20–21, 32.

⁸⁹See Conversion to Digital Television, 67 Fed. Reg. 63290, 63294 (Fed. Commc'ns Comm'n Oct. 11, 2002).

⁹⁰See OMB Circular A-119, Final Revision, Federal Participation in the Development and Use of Voluntary Consensus Standards and in Conformity Assessment Activities 9 (Office of Mgmt. & Budget Jan. 27, 2016), available online.

 $^{^{91}}See id. \$ 2(d), at 16.

 $^{^{92}}See also$ Duan, supra note 2, at 35.

II. HISTORICAL AND MODERN PRACTICES COUNSEL AGAINST REIMPLEMENTATION BEING COPYRIGHT INFRINGEMENT

The many examples explored above offer multiple important lessons on copyright and API reimplementation.

A. INDUSTRY EXPECTS NOT COPYRIGHT INCENTIVES TO CREATE APIS, BUT FREEDOM TO REIMPLEMENT

There has always been an expectation that reimplementation is broadly permitted. Conversely, history and modern practice refute the notion that the incentives of copyright protection are necessary to encourage new APIs or command languages.

From the earliest days of telecommunications, command languages have been reimplemented with regularity.⁹³ Today, scores of technical communication standards mandate API reimplementation yet make no provisions for licensing copyrights in APIs, suggesting that industry believes that no license is necessary.⁹⁴ And government agencies use reimplementation to achieve outcomes such as portable health care, law enforcement coordination, and military success⁹⁵—again expecting copyright licensing to be unnecessary.⁹⁶ These many examples show a consensus that APIs and other command languages may be reimplemented without a copyright license.

These examples also undercut Oracle's claim that copyright incentives are needed to encourage production

⁹³See Section I.A supra p. 6.

⁹⁴See Section I.B supra p. 15; Duan, supra note 2, at 3–4, 31.

⁹⁵See Section I.C supra p. 20.

⁹⁶See Section I.D supra p. 25.

of APIs. The many command languages and APIs described above were produced and improved, despite the developers knowing that their work would likely be reimplemented by others for free. And even if copyright incentives are valuable to a few API developers, those incentives come at the cost of upsetting an overwhelmingly larger set of settled expectations on the permissibility of reimplementation.

B. ROBUST COMPETITION DEPENDS ON EMERG-ING FIRMS' FREEDOM TO REIMPLEMENT APIS

API reimplementation ensures that emerging technology firms can compete with incumbents on a level playing field. Should reimplementation be copyright infringement, then leading technology firms could use copyright as a tool to lock out competitors from non-copyrighted markets.

Modern technology systems depend on interoperability. Developers of health care management software, for example, must reimplement numerous APIs and interfaces to make their products compatible with the many medical devices, records systems, wellness apps, and other technologies already on the market.⁹⁷ Thus, "[s]tandardization provides enormous value to both consumers and manufacturers."⁹⁸

⁹⁷See 21st Century Cures Act, Pub. L. No. 114-255, sec. 4003(a), 130 STAT. 1033 (2016) (codified at 42 U.S.C. § 300jj(10)); Br. R St. Inst. et al. 17–18, Feb. 25, 2019; Kenneth D. Mandl & Isaac S. Kohane, Data Standards May Be Wonky, but They Will Transform Health Care, STAT (Oct. 3, 2019), available online.

⁹⁸Microsoft Corp. v. Motorola, Inc., 795 F.3d 1024, 1030 (9th Cir. 2015); see Ericsson, Inc. v. D-Link Sys., Inc., 773 F.3d 1201, 1208 (Fed. Cir. 2014).

Health care is not the only example of reimplementation begetting competition. Internet platforms and software have thrived by reimplementing Internet APIs.⁹⁹ Thousands of computer peripheral manufacturers all reimplement APIs found in technical standards for device communication.¹⁰⁰ Copyright in APIs threatens to entrench incumbents by taxing new entrants with switching costs—indeed, the evidence seems to suggest that uncertainty about API copyrights, created by this litigation, has already initiated entrenchment in the cloud computing industry.¹⁰¹

The risk of technological dominance via copyright law should be especially concerning given the larger conversation about "big tech" generally. Indeed, for many of those concerned with a lack of competition in the social media and Internet platform industries, a common proposal for remedying that situation is in fact API reimplementation: directing the leading online platforms to use standardized interfaces to their operations so that new entrants can reimplement those interfaces and thus interoperate competitively with the incumbents.¹⁰²

While the merits of mandatory interoperability are a matter for debate, it certainly would be counterproductive to give dominant firms a veto power over competitive interoperability. Copyright law has never been intended

⁹⁹See Section I.B.2 supra p. 18.

 $^{^{100}}See$ Section I.B.3 supra p. 19.

¹⁰¹See Simon Wardley, What If . . . Co-opting Amazon APIs, BITS OR PIECES? (May 6, 2014), available online; Section I.B.1 supra p. 15.

 $^{^{102}}See, e.g.$, Gus Rossi & Charlotte Slaiman, *Interoperability = Privacy + Competition*, PUB. KNOWLEDGE (Apr. 26, 2019), *available online*; Augmenting Compatibility and Competition by Enabling Service Switching (ACCESS) Act of 2019, S. 2658, 116th Cong. sec. 4(a) (Oct. 22, 2019).

as a veto power over free markets in technological services,¹⁰³ and this case should not change that.

Competition concerns also favor resolving this case on the absence of a copyright interest in an API, rather than on fair use. Fair use determinations often require a fullblown trial, which may as a practical matter deter new entrant competitors from reimplementing APIs even if on the merits they would be permitted to do so.¹⁰⁴

C. REIMPLEMENTATION OF APIS OFTEN SERVES AMERICANS' SECURITY AND WELFARE

Freedom to reimplement APIs often advances important public purposes, by virtue of the intrinsic tie between reimplementation and standardization. Standardization of health care records ensures that patients receive adequate care from multiple providers.¹⁰⁵ Standardization of hospital codes ensures that hospital staff respond to emergencies correctly.¹⁰⁶ Standardization in aviation ensures that airplanes do not crash.¹⁰⁷ Standardization in broadcast information, such as television and weather reports, ensures that the public can receive important alerts and information.¹⁰⁸ To the extent that

¹⁰³See Twentieth Century Music Corp. v. Aiken, 422 U.S. 151, 164 (1975) (copyright law should "prevent the formation of oppressive monopolies").

¹⁰⁴See Charlotte Slaiman, Copyright, Fair Use, and Competition in Oracle v. Google, PUB. KNOWLEDGE (Jan. 13, 2020), available online.

¹⁰⁵See Office of the Nat'l Coordinator for Health Info. Tech., Report on Health Information Blocking 6 (Apr. 2015), *available online*.

¹⁰⁶See Section I.A.4 supra p. 13.

¹⁰⁷See Section I.C.3 supra p. 24.

 $^{^{108}}See$ Section I.C.2 supra p. 23; Br. R St. Inst. et al. 19–20, Feb. 25, 2019.

copyright interferes with API reimplementation, it may interfere with these important interests.

Standardization within the government's internal operations is equally important. Law enforcement agencies require common data exchange vocabularies in order to administer justice collaboratively.¹⁰⁹ Standardization in technology procurement saves future taxpayer dollars from vendor lock-in.¹¹⁰ Military operations have always depended on standardization of command communication, from the days of telegraph codes onwards.¹¹¹ Reimplementation of APIs is a common denominator for efficient government.

¹⁰⁹See Section I.C.1 supra p. 21.

¹¹⁰See Br. R St. Inst. et al. 15–16.

¹¹¹See Section I.A.3 supra p. 10.

CONCLUSION

For the foregoing reasons, the decision of the Court of Appeals should be reversed.

Respectfully submitted,

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